

SBC STRATEGIC AUDIT PLAN: 2006/07 - 2010/11

	Risk	2006.07 Days	2007.08 Days	2008.09 Days	2009.10 Days	2010.11 Days
Horizontal audits (*):						
Bonus schemes	Medium	20	0	20	0	20
Building & premises maintenance	Medium	0	25	0	25	0
Car parking (on and off street, lorries)	Medium	0	20	0	20	0
Cash & payments	Key	12	15	12	15	12
Community transport & concessionary fares	Medium	20	0	20	0	20
Contract management	Medium	20	0	20	0	20
Creditor payments	Key	13	20	13	20	13
Current contracts	High	25	25	25	25	25
Energy (utility invoicing, conservation)	Medium	20	0	20	0	20
Grants to external bodies	Medium	0	15	0	15	0
Housing management (voids, allocations, homelessness)	Medium	0	25	0	25	0
Information management (RIPA, DP Act, FOI)	Medium	20	2	20	2	20
Land & property management (purchases, sales, estates)	Medium	0	20	0	20	0
Leisure & recreation	Medium	0	20	0	20	0
Licensing (taxi, misc)	Medium	20	0	0	20	0
Markets	Medium	0	15	0	15	0
Officer's expenses (mileage, petty cash, card purchases)	Medium	0	20	0	20	0
Open spaces (parks, playing fields, grounds maint, allotments)	Medium	25	0	25	0	25
Payroll	Key	22	13	22	13	22
Rechargeable works (service charges, repairs)	Medium	20	0	20	0	20
Services for elderly people (community meals, day centres)	Medium	20	0	20	0	20
Services for young people (play, youth)	Low	0	0	0	0	25
Stores	Medium	0	15	0	15	0
Sundry debtors	Key	13	20	13	20	13
Training	Low	0	15	0	0	0
Vehicles & plant (utilisation, procurement, maintenance)	Medium	20	0	20	0	20
Waste management (collection, recycling)	Medium	20	0	20	0	20
Vertical audits (*):						
Benefits	Key	22	13	22	13	22
Building control	Medium	0	15	0	15	0
Business rates	Key	13	20	13	20	13
Car loans & leasing	Medium	15	0	15	0	0
CCTV	Medium	0	15	0	15	0
Cemeteries	Medium	0	15	0	15	0
Council tax	Key	13	20	13	20	13
Decent homes	High	15	15	15	15	15
Development control	Medium	17	0	17	0	17
Drainage	Low	0	0	0	0	15
Environmental health	Medium	20	0	20	0	20
Environmental services	Medium	15	0	15	0	15
Highways	Low	0	0	15	0	0
Housing rents	Key	22	13	20	13	20
Information technology	High	30	30	30	30	30
Insurance	Medium	0	15	0	15	0
Land charges	Low	15	0	0	0	0
Main accounting	Key	17	13	17	13	17
Member's allowances	Low	0	0	0	15	0
Mortgages	Low	0	0	20	0	0
Museum	Low	0	20	0	0	0
Personnel	Medium	0	20	0	20	0
Renovation grants	Medium	0	15	0	15	0
Right to buy	Medium	0	15	0	15	0
Stevenage Leisure	Medium	20	0	20	0	20
Street cleansing	Medium	0	0	15	0	15
Treasury management	High	15	15	15	15	15
Corporate contribution						
Audit advice and consultancy (incl ALMO set up)	n/a	60	60	50	50	50
Audit follow up	n/a	30	30	30	30	30
Best value performance indicators	n/a	20	20	20	20	20
Contribution to corporate mgmt	n/a	10	10	10	10	10
Non audit duties	n/a	30	30	30	30	30
Special investigations contingency	n/a	50	50	50	50	50
Value for money	n/a	0	0	0	0	0
		759	754	762	744	752

* A horizontal audit is one that cuts across services and or the Council's structure. It typically leads to recommendations at a corporate level.

* A vertical audit is one that is service specific and or self contained. It typically leads to recommendations within a service.